



<b><u>Policy Title</u></b>	RISK MANAGEMENT POLICY
<b><u>CCMT Sponsor</u></b>	DEPUTY CHIEF CONSTABLE
<b><u>Department/Area</u></b>	STRATEGIC COORDINATION & PLANNING
<b><u>Section/Sector</u></b>	HQ

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### **1.0 Rationale**

It is the policy of the Thames Valley Police Authority and the Chief Constable to adopt a pro-active approach to Risk Management consistent with the principles of Best Value and Corporate Governance.

Effective Risk Management leads to better policing and overall organisational excellence.

### **2.0 Intention**

The intention of this document is to inform the staff and officers of Thames Valley Police about its risk management policy and accompanying strategy.

### **3.0 General Principles**

Risk Management is a planned and systematic approach to the identification, evaluation and management of risks in order to achieve continuous improvement. It includes the appraisal of options for managing and controlling such risks, and the implementation of cost-effective mitigation. It has six key elements:-

1. Identification of the risks to which the organisation is exposed.
2. Assessment of those risks in terms of frequency and severity.
3. Evaluation of control measures, where possible and cost-effective, to eliminate or reduce the frequency and/or severity of risk events.
4. Allocation of Risk Owner and implementation of control measures.
5. Managing the residual risk, either by self or by transferring the risk (e.g. to an insurer/partner/etc).
6. Monitoring and reviewing the risks and control measures and responding to change.

TVP is exposed to a number of risks that may threaten its assets, interrupt activity and divert resources from the Force's aim of "Working with our communities to reduce crime, disorder and the fear of crime".

Thames Valley Police is committed to the management of risk in order to:-

- Safeguard its personnel and all other persons to whom it has a duty of care
- Ensure compliance with statutory obligations
- Preserve and enhance service delivery
- Maintain effective control of public funds
- Protect its property and all other physical assets and resources
- Protect the reputation of Thames Valley Police.

#### **4.0 Challenges & Representations**

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Kidlington  
OX5 2NX

#### **5.0 Guidance, Procedures & Tactics**

The policy will be attained through the implementation of a cohesive Risk Management Strategy to ensure that a culture of managing risk is embedded throughout the organisation. The Strategy will include a Strategic Risk Register to provide the means by which TVP can formally demonstrate that they are effectively managing risks to the achievement of their objectives.

Risk will be managed at two levels:-

**Strategic** - The management of risks that may impact on the Force's medium to long-term goals and objectives will be co-ordinated by the Force Risk Management Group (FRMG) reporting to CCMT and the Police Authority. Individual managers at senior level will be allocated ownership of those risks that fall within their area of responsibility.

**Operational** – The management of risks that they will encounter in the daily course of their work will be an intrinsic part of the role of all managers throughout the Force. All personnel will have a responsibility to co-operate with their managers to identify, assess and review risks that may affect the achievement of their objectives.

Issues in relation to this policy and the Risk Management Strategy will be discussed at quarterly meetings of the FRMG which forms part of CCMT's Strategy Group.

The Deputy Chief Constable will sign off any revisions to the Policy and/or Strategy documents.

#### **6.0 Communication**

##### **6.1 Links to Police National Legal Database Other**

Health & Safety Policy  
Corporate Governance

## **6.2 Communications Strategy**

All officers and employees will be made aware of the importance of risk management and their role in promoting better risk management. In order to achieve this, a communication strategy will be developed by the FRM in conjunction with the Internal Communication Manager.

This strategy will ensure that:

- the Force's policy on Risk Management is disseminated throughout the Force
- risk-related information is communicated to the appropriate recipient
- the risk of breakdown in communication, leading to inaccurate/out of date risk management information and potentially greater exposure, is minimised

## **7.0 Compliance and Certification**

### **7.1 Human Rights Certification**

#### **(i) Legal Basis**

The Account & Audit Regulations 2003 introduced a requirement to publish a Statement on Internal Control with the annual Statement of Accounts which must include 'measures to ensure that risk is appropriately managed'.

This policy document is also founded in good management practice and in accordance with Corporate Governance

#### **(ii) Human Rights Articles Engaged**

Article 2: Right to Life.

Audited by: Keron Harris  
Audited on: 18/10/02

#### **(iii) Prohibition of Discrimination**

Actions taken as a consequence of this Policy will be applied in a fair and impartial way, having due regard for natural justice and human rights.

#### **Article 14 Prohibition of Discrimination**

### **7.2 Race Equality Impact Assessment**

This policy has been assessed by the Race Equality Scheme Co-ordinator as low risk.

### **7.3 Data Protection**

Personal data processed in compliance with this policy will be managed in accordance with the provisions of the Data Protection Act 1998.

### **7.4 Freedom of Information Act**

This policy is suitable for public disclosure. It will be published on the Thames Valley Police Freedom of Information Internet site.

### **7.5 Protective Markings**

This policy will be **NOT PROTECTIVELY MARKED**.

### **7.6 Health & Safety at Work**

It is the duty of all Thames Valley Police personnel – police officers, police staff, members of the special constabulary and volunteers – irrespective of rank, grade or position, to take all reasonable care of themselves and other persons who may be affected by their acts or omissions.

This policy is supported by arrangements in the Force Health and Safety Manual, as published on the Intranet:

<http://onlineview/healthandsafet/manual/index.htm>

## **8.0 Monitoring and Review**

### **8.1 Links to Best Value/PPAF/Priorities/Performance Indicators**

Risk assessment is a key element of the Best Value Review process. Good risk management will help to ensure that best value is achieved in all working practices

### **8.2 Review Process**

The Policy will be formally reviewed annually by the FRMG, in conjunction with the Risk Management Strategy.

The Strategic Risk Register will be reviewed at the quarterly meetings of the FRMG, and revised where necessary to reflect changing circumstances and objectives.

Monitoring, review and independent assurance of the effectiveness of the Policy and Strategy in practice will be carried out by Internal Audit.